

Serial No. 09/921,610
Amendment dated October 19, 2004
Reply to Office Action of May 25, 2004

Docket No. 4159-4003US1

Remarks

Status of the Application

Claims 100-104, 107, 108 and 111-133 are pending in the application. Independent claims 100, 103, 104, 118, 119, 124, and 129 have been amended. Claim 118 has been amended to correct an informality.

Pursuant to the Office Action mailed May 25, 2004, the active claims stand finally rejected under 35 U.S.C. 102(e) as anticipated by Minton (U.S. PG Pub No. 2002/0091611). As noted in the Interview Summary, agreement was reached with respect to the claims during a telephonic interview on September 13, 2004 between Applicants' attorney and the Examiner. This Amendment is submitted in accordance with the Interview Summary to overcome all outstanding rejections.

Comments

Applicants' attorney would like to thank Examiner Backer for the courtesies extended during a telephonic interview of September 13, 2004. During the interview, the Examiner suggested that the claims would be allowable if amended to i) include a limitation indicating that the second security is different from the first security and ii) that the buy and sell transactions in a barter occur at about the same time.

In this Amendment, the independent claims have been amended to recite i) the second security different from the first security, and ii) in a barter order, the purchase of the second security is substantially concurrent with the sale of the first security.

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CONCLUSION


These amendments are submitted to place this application in condition for allowance. Applicants respectfully request entry of this amendment and a timely allowance of the active claims.

If the Commissioner determines that an additional fee is due, Applicant authorizes the Commissioner to charge any required fee, or credit any overage, to deposit account 13-4500, Order No. 4159-4003US1. A duplicate of this sheet is included.

Respectfully submitted,

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Date: October 19, 2004

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